

Transferable End-to-End Aspect-based Sentiment Analysis with Selective Adversarial Learning

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- Background & Motivation
 - E2E-ABSA
 - Transferable E2E-ABSA

Method

Experiments

Future Works



Background & Motivation

- E2E-ABSA
- Transferable E2E-ABSA

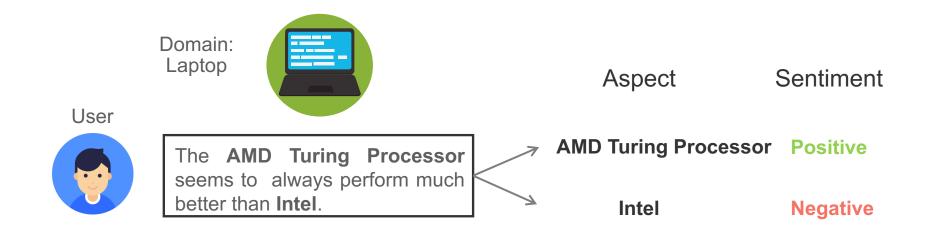
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End-to-End Aspect-based Sentiment Analysis 📮

E2E-ABSA: joint extraction of aspects and their sentiments from user reviews.



Two subtasks:

- Aspect detection (AD): extract the aspect terms from user reviews.
- Aspect sentiment (AS) classification: Given a review sentence and an aspect term, predict the sentiment towards the aspect.

Unified Formulation (single domain)



Formulation: coupling two subtasks as a unified sequence labeling problem.

- Unified tag = aspect boundary tag {B, I, E, O, S} + sentiment tag {POS, NEG, NEU}
- NER tag = entity boundary tag {B, I, E, O, S} + entity type tag {PER, ORG, LOC, …} (Similar)

Input	The	AMD	Turin	Processor	seems	to	always	perform	much	better	than	Intel	
Joint	0	В	I	E	0	0	0	0	0	0	0	S	0
John	0	POS	POS	POS	0	0	0	0	0	0	0	NEG	0
Unified	0	B-POS	I-POS	E-POS	0	0	0	0	0	0	0	S-NEG	0

Li et al., 2019 A unified model for opinion target extraction and target sentiment prediction AAAI

Pros:

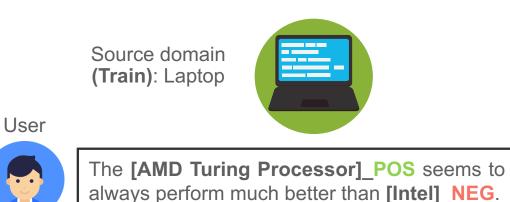
- End-to-end manner
- Alleviate accumulated errors across two highly-correlative sub-tasks.

Cons:

- Lack of sufficient labeled data in a wide range of domains.
- Manual labeling for sequence data is expensive and time-consuming.



Transferable E2E-ABSA



Cross-domain:

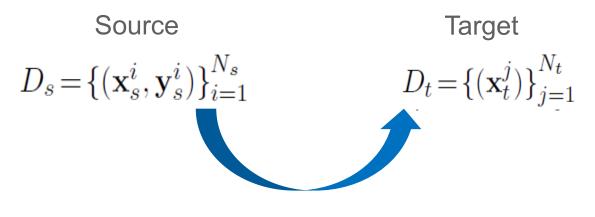
 leverage knowledge from a labeled source domain to improve the sequence learning (unified tag prediction) in an unlabeled target domain.



Unified Formulation (cross domain)



Input: a sequence of words $\mathbf{x} = \{w_1, w_2, ..., w_n\}$ Output: a unified tag sequence $\mathbf{y} = \{y_1, y_2, ..., y_n\}$



Sequence Transfer Learning (unsupervised)



Challenges



What to transfer?

• There exists a **large domain shift** between domains since aspect terms in different domains are usually disjoint.

e.g., "salmon" in the Restaurant domain and "mouse" in the Laptop domain.

How to transfer?

 Unlike domain adaptation in traditional sentiment classification that learns shared sentence or document representations, we need to learn fine-grained (word-level) representations to be domain-invariant for sequence prediction.



What to transfer?

Prior work: highly depends on common syntactic relations between aspect and opinion words

- manually-designed rules
- external linguistic resources (dependency parsers)



I love tuna sandwich very much.



I love the design of iPhone 7

RuleID	Rule	Example
R1	$\bigcirc \xrightarrow{amod} T$	They have nice dessert.
	nsubi	(nice $\xrightarrow{amod} dessert$)
R2	$T \xrightarrow{nsubj} O$	Its camera is great.
		$(camera \xrightarrow{nsubj} great)$
R3	$T \xrightarrow{dobj} O$	I love their fries.
		$(fries \xrightarrow{dobj} love)$
R4	$T \xrightarrow{nsubj} H \xleftarrow{amod} O$	iPhone is the best cellphone.
		$(iPhone \xrightarrow{nsubj} \text{phone} \xleftarrow{amod} \text{best})$
ER1	$\mathbb{W} \xrightarrow{amod} \mathbb{T}$	I like Indian food.
		(Indian $\stackrel{amod}{\longrightarrow}$ food)
ER2	$\mathbb{W} \xrightarrow{nn} \mathbb{T}$	Their spring roll is great.
	1000 • • • • • • • • • • • • • • • • • •	$(spring \xrightarrow{nn} roll)$
ER3	$\mathbb{W}_2 \xrightarrow{pobj} \mathbb{W}_1 \xrightarrow{prep} \mathbb{T}$	I like the design of iPhone.
		(<i>iPhone</i> \xrightarrow{pobj} of \xrightarrow{prep} design)

Ding et al., 2017. Recurrent neural networks with auxiliary labels for cross-domain opinion target extraction. AAAI

Ours: automatically capture the latent relations among aspect and opinion words as transferable knowledge.



How to transfer?

straightforward solution: apply domain adaption methods to align all words within the sentence. (**no significant improvements**).

Reason: Only a small number of words are informative words that are not tagged with "O".

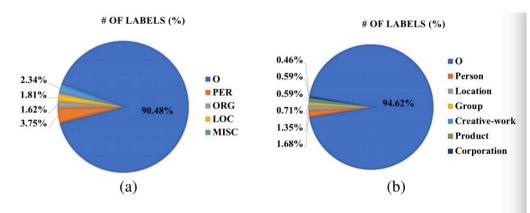


Fig. 2. Label statistics on CoNLL-2002 Dutch NER and WNUT-2017 English Twitter NER train set. The label type "O" is dominant in different data sets. (a) CoNLL-2002 Dutch. (b) WNUT-2017 English Twitter.

TABLE I F1 Score of BilSTM-CNNs-CRF Model on WNUT-2017 Development Set

Label Type	Precision (%)	Recall (%)	F1 Score (%)
0	95.56	99.46	97.47
Person	78.75	49.23	60.59
Location	51.02	46.73	48.78
Group	19.23	7.81	11.11
Creative-work	32.94	11.76	17.34
Product	33.33	7.69	12.50
Corporation	18.18	21.74	19.80

Zhou et al., Roseq: Robust sequence labeling. TNNLS 2019

Ours: selectively align the informative words within the sentence.



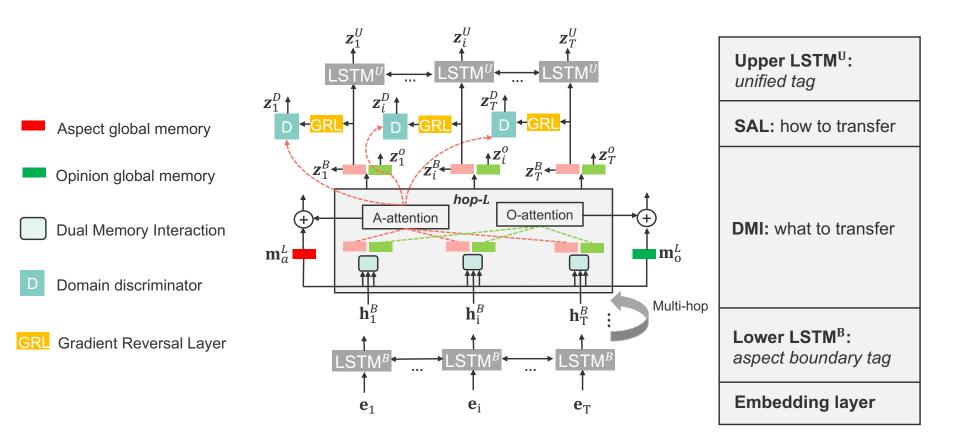
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Framework





Base Model

Two stacked Bi-LSTMs:

• Aspect boundary information can be used as the guidance

$$\mathbf{h}_{i}^{\mathcal{B}} = [\overrightarrow{\text{LSTM}}^{\mathcal{B}}(\mathbf{e}_{i}); \overleftarrow{\text{LSTM}}^{\mathcal{B}}(\mathbf{e}_{i})],$$
$$\mathbf{h}_{i}^{\mathcal{U}} = [\overrightarrow{\text{LSTM}}^{\mathcal{U}}(\mathbf{h}_{i}^{\mathcal{B}}); \overleftarrow{\text{LSTM}}^{\mathcal{U}}(\mathbf{h}_{i}^{\mathcal{B}})].$$

Low-level AD
$$\mathbf{z}_{i}^{\mathcal{B}} = \mathbf{p}(\mathbf{y}_{i}^{\mathcal{B}}|\mathbf{h}_{i}^{\mathcal{B}}) = \operatorname{Softmax}(\mathbf{W}_{\mathcal{B}}\mathbf{h}_{i}^{\mathcal{B}} + \mathbf{b}_{\mathcal{B}}).$$

High-level ADS $\mathbf{z}_{i}^{\mathcal{U}} = \mathbf{p}(\mathbf{y}_{i}^{\mathcal{U}}|\mathbf{h}_{i}^{\mathcal{U}}) = \operatorname{Softmax}(\mathbf{W}_{\mathcal{U}}\mathbf{h}_{i}^{\mathcal{U}} + \mathbf{b}_{\mathcal{U}}).$
Primary loss:
 $\mathcal{L}_{\mathcal{M}} = \sum_{D_{s}} \sum_{\mathcal{Q} \in \{\mathcal{B}, \mathcal{U}\}} \sum_{i=1}^{T} \ell(\mathbf{z}_{i}^{\mathcal{Q}}, \mathbf{y}_{i}^{\mathcal{Q}}).$

AD: Aspect Detection (aspect boundary tags)ADS: Aspect Detection and Sentiment Classification (unified tags)

What to transfer: Global-Local Memory Interaction (GLMI)

GLMI: basic operation for computing the correlations between two objects (local & global memory).

Local Memory: LSTM hidden states
GLMI:
$$f(\mathbf{h}_{i}^{\mathcal{B}}, \mathbf{m}; \mathbf{\Theta}, \mathbf{G})$$

Global Memory: commonly-used in memory networks

1) Residual transformation

$$\tilde{\mathbf{h}}_{i}^{\mathcal{B}} = \mathbf{h}_{i}^{\mathcal{B}} + \operatorname{ReLU}(\mathbf{W}[\mathbf{h}_{i}^{\mathcal{B}}:\mathbf{m}] + \mathbf{b}),$$

2) Multi-dimensional Bilinear Transformation

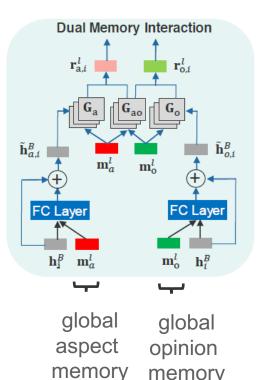
$$\mathbf{r}_{i} = \mathbf{m}_{i}^{T} \mathbf{G} \tilde{\mathbf{h}}_{i}^{\mathcal{B}}$$
$$\mathbf{G} \in \mathbb{R}^{K \times \dim_{h}^{\mathcal{B}} \times \dim_{h}^{\mathcal{B}}} \text{ models K kinds of latent relations}$$



What to transfer: Dual Memory Interaction (DMI)

DMI: models the correlations between aspect and opinions for aspect and opinion co-detection.

a & a a & o aspect $\mathbf{r}_{a,i}^{l} = [f(\mathbf{h}_{i}^{\mathcal{B}}, \mathbf{m}_{a}^{l}; \boldsymbol{\Theta}_{a}, \mathbf{G}_{a}) : f(\mathbf{h}_{i}^{\mathcal{B}}, \mathbf{m}_{o}^{l}; \boldsymbol{\Theta}_{o}, \mathbf{G}_{ao})],$ opinion $\mathbf{r}_{o,i}^{l} = [f(\mathbf{h}_{i}^{\mathcal{B}}, \mathbf{m}_{o}^{l}; \boldsymbol{\Theta}_{o}, \mathbf{G}_{o}) : f(\mathbf{h}_{i}^{\mathcal{B}}, \mathbf{m}_{a}^{l}; \boldsymbol{\Theta}_{a}, \mathbf{G}_{ao}^{T})],$ 0 & 0 o & a opinion detection is used as a $\mathcal{L}_{\mathcal{O}} = \sum_{i \in \mathcal{O}} \ell(\mathbf{z}_{i}^{\mathcal{O}}, \mathbf{y}_{i}^{\mathcal{O}})$ auxiliary task to link the different $D_{\circ} \cup D_{t}$ aspect across domains.



memory

A-attention (aspect) & O-attention (opinion)

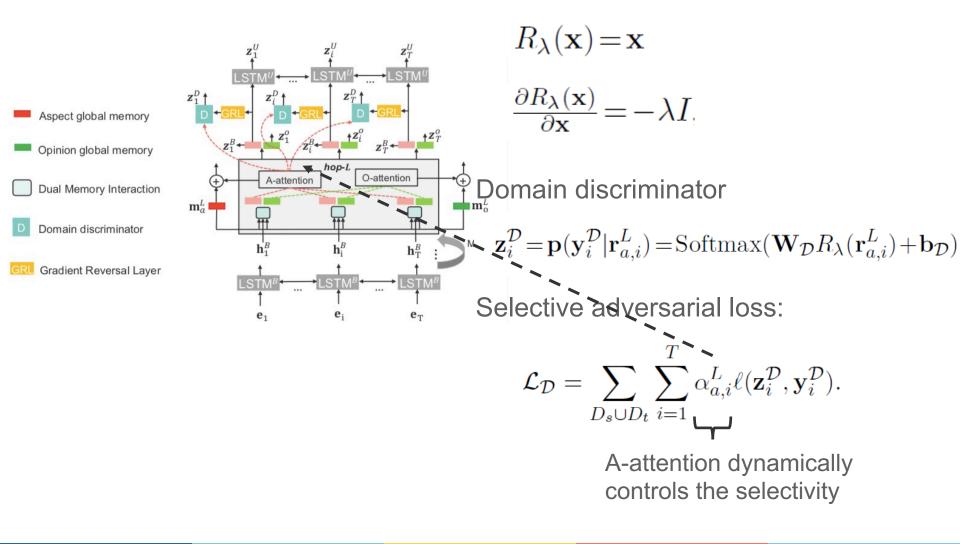
$$\alpha_{p,i}^{l} = \frac{\exp(\mathbf{u}_{p}\mathbf{r}_{p,i}^{l})}{\sum_{j=1}^{T}\exp(\mathbf{u}_{p}\mathbf{r}_{p,j}^{l})} \cdot \qquad p \in \{a, o\}$$



How to transfer: Selective Adversarial Learning (SAL)

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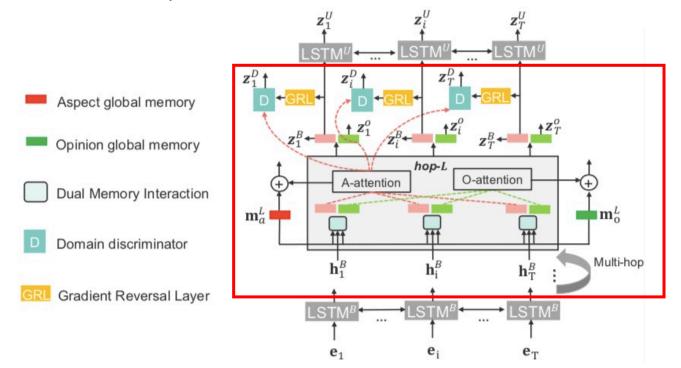
Gradient Reversal Layer: (Ganin et al., 2016)





How to transfer: Selective Adversarial Learning (SAL)

• Why do we choose low-level neural layer features (e.g., SAL on the low-level AD task) for transfer?



Existing studies (Yosinski et al., 2014; Mou et al., 2016) have already shown some evidence that low-level neural layer features (i.e., low-level task) are more easily transferred to different tasks or domains.

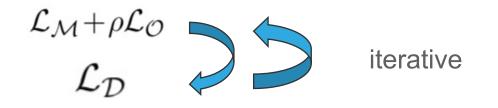


Training Strategy

Joint training: (unstable, too many objectives)

$$E = \mathcal{L}_{\mathcal{M}} + \rho \mathcal{L}_{\mathcal{O}} + \gamma \mathcal{L}_{\mathcal{D}}$$

Alternating training: (more stable, two-stage optimization)



 $(\hat{\theta}_{f}^{(1)}, \hat{\theta}_{w}) = \arg \min_{\theta_{f}, \theta_{w}} \mathcal{L}_{\mathcal{M}} + \rho \mathcal{L}_{\mathcal{O}}$ discriminative stage $(\hat{\theta}_{f}^{(2)}, \hat{\theta}_{d}) = \arg\min_{\theta_{f}} \max_{\theta_{f}} \mathcal{L}_{\mathcal{D}}.$ domain-invariant stage

The parameters for feature learning of each word, word $\theta_f, \ \theta_w, \ \theta_d$ predictions for AD, ADS and opinion detection tasks, and domain classification, respectively.



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Experiment Setup

Datasets

- L: Laptop domain (Pontiki et al., 2014)
- R: Restaurant domain (Pontiki et al., 2014,2015,2016)
- **D**: Device domain (Hu and Liu, 2004)
- **S**: Service domain (Toprak et al., 2010)

L and R are from SemEval ABSA challenge 2014, 2015, 2016

Dataset	Domain	Sentences	Training	Testing
\mathbb{L}	Laptop	1,869	1,458	411
\mathbb{R}	Restaurant	3,900	2,481	1,419
\mathbb{D}	Device	1,437	954	483
S	Service	2,153	1,433	720



Experiment Setup

Setting

4 different domains, 10 transfer pairs (without two easy pairs $L \rightarrow D$, $D \rightarrow L$)

For each pair e.g., **source** A-> **target** B:

Training: Labeled training data from A, unlabeled training data from BValidation: testing data from ATesting: testing data from B

Baselines

- TCRF: (Jakob and Gurevych, 2010): Transferable CRF
- RAP: (Li et al., 2012): cross-domain Relational Adaptive Bootstrapping
- **Hier-Joint**: (Ding et al., 2017): RNN with manually designed rule-based auxiliary tasks based on common syntactic relations
- **RNSCN**: (Wang and Pan, 2018): a recursive neural structural correspondence network

Extended versions:

 Hier-Joint+ & RNSCN+: original version with the proposed stacking architecture



Main Results

Transfer Pair	Г	CRF	1	RAP	Hie	er-Joint	Hier-J	oint ⁺	RN	ISCN	RNS	CN^+	Οι	irs
	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS
$\mathbb{S}{\rightarrow}\mathbb{R}$	-	14.84	-	25.41	-	32.81	46.39	31.10	-	30.56	48.89	33.21	52.05	41.03
$\mathbb{L}{\rightarrow}\mathbb{R}$	-	16.06	-	31.05	-	31.90	48.61	33.54	-	31.85	52.19	35.65	56.12	43.04
$\mathbb{D}{\rightarrow}\mathbb{R}$	-	17.05	-	28.37	-	30.03	42.96	32.87	-	31.41	50.39	34.60	51.55	41.01
$\mathbb{R} {\rightarrow} \mathbb{S}$	-	15.20	-	13.17	-	15.20	27.18	15.56	-	23.31	30.41	20.04	39.02	28.01
$\mathbb{L}{\rightarrow}\mathbb{S}$	-	12.34	-	13.72	-	15.33	25.22	13.90	-	16.73	31.21	16.59	38.26	27.20
$\mathbb{D} {\rightarrow} \mathbb{S}$	-	13.49	-	16.80	-	18.74	29.28	19.04	-	18.93	35.50	20.03	36.11	26.62
$\mathbb{R}{\rightarrow}\mathbb{L}$	-	14.59	-	15.69	-	19.17	34.11	20.72	-	25.54	47.23	26.63	45.01	34.13
$\mathbb{S}{\rightarrow}\mathbb{L}$	-	9.56	-	12.38	-	21.80	33.02	22.65	-	19.15	34.03	18.87	35.99	27.04
$\mathbb{R}{\rightarrow}\mathbb{D}$	-	19.84	-	17.50	-	22.91	34.81	24.53	-	32.43	46.16	33.26	43.76	35.44
$\mathbb{S}{\rightarrow}\mathbb{D}$	-	13.43	-	15.74	-	20.04	35.00	23.24	-	19.98	32.41	22.00	41.21	33.56
Average	-	14.64	-	18.98	-	22.79	35.66	23.72	-	24.99	40.84	26.09	43.91 [†]	33.71 [†]
(Δ)	-	(19.07)	-	(14.73)	-	(10.92)	(8.25)	(9.99)	-	(8.72)	(3.07)	(7.62)	-	-

Table 2: Main results (%). Δ refers to the improvements of the full model over baseline methods. The marker [†] means that our model significantly outperforms the best baseline **RNSCN**⁺ with *p*-value < 0.01.



Ablation Study

Ab	lation Variants
What to transfer -	• Base Model (SO / TO): two stacked Bi-LSTMs. SO (Source Only) and TO (Target Only). We usually refer to them as a lower bound and a upper bound, respectively.
	• Base Model + DMI: two stacked Bi-LSTMs with a DMI between them.
ſ	• AD-AL: pure adversarial learning (removing the selective weight from the adversarial loss) for the low-level AD task .
How to transfer -	• ADS-SAL: selective adversarial learning on each word representations for the high-level ADS task.
	• AD-SAL (Full model): selective adversarial learning for the low- level AD task .

Note: The backbones of the AD-AL ADS-SAL and AD-SAL are all based on the Base Model +DMI



No DMI v.s. DMI

	No	DMI	D	MI								
		ل										
	Lower	bound		1	Ablation	Models			Full I	Model	Uppe	r bound
Transfer Pair	Base Mo	odel (SO)	Base M	odel+DMI	AD	-AL	ADS	-SAL	AD-	SAL	Base M	odel (TO)
	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS
$\mathbb{S} \rightarrow \mathbb{R}$	30.32	19.74	45.68	37.10	48.28	37.65	51.29	41.03	52.05	41.03		
$\mathbb{L} \rightarrow \mathbb{R}$	33.99	28.34	46.25	36.49	51.79	38.63	55.50	42.00	56.12	43.04	81.84	67.26
$\mathbb{D} {\rightarrow} \mathbb{R}$	31.59	27.25	46.56	36.89	46.39	37.34	46.43	38.35	51.55	41.01		
$\mathbb{R} \rightarrow \mathbb{S}$	15.63	8.61	21.88	16.85	25.13	18.61	37.11	25.84	39.02	28.01		
$\mathbb{L} \rightarrow \mathbb{S}$	22.45	16.07	28.67	21.53	28.18	20.74	30.35	23.73	38.26	27.20	68.28	41.12
$\mathbb{D} \rightarrow \mathbb{S}$	16.79	9.49	31.91	22.14	32.88	24.89	32.51	21.45	36.11	26.62		
$\mathbb{R}{\rightarrow}\mathbb{L}$	38.45	23.40	42.27	30.52	40.52	28.77	44.56	33.34	45.01	34.13	75.95	52.62
$\mathbb{S}{\rightarrow}\mathbb{L}$	24.69	14.48	36.38	27.48	32.96	25.16	33.87	24.22	35.99	27.04	15.95	52.02
$\mathbb{R} {\rightarrow} \mathbb{D}$	34.87	25.79	36.90	27.71	41.61	31.88	43.97	34.50	43.76	35.44	70.37	57.62
$\mathbb{S}{\rightarrow}\mathbb{D}$	27.73	17.73	38.03	31.21	39.54	32.28	40.40	33.26	41.21	33.56	10.57	57.02
Average	27.65	19.09	37.45	28.79	38.73	29.60	41.60	31.77	43.91 [†]	33.71 [†]	74.11	54.66
(Δ)	(16.26)	(14.62)	(6.46)	(4.92)	(5.18)	(4.11)	(2.31)	(1.94)	-	-	-	-

Table 3: Ablation results (%). Δ refers to the improvements of the full model over ablation methods. The marker [†] means that the full model significantly outperforms the best ablation model **ADS-SAL** with *p*-value < 0.01.



No SAL v.s. SAL

			No	SAL					SA	۹L ۱		
	Lowon	hound			Ablation	Modela				Model	Unno	rbound
Transfer Pair		bound del (SO)	Base M	odel+DMI		-AL	ADS	-SAL		SAL		r bound odel (TO)
fransier i an	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS
$\mathbb{S} \rightarrow \mathbb{R}$	30.32	19.74	45.68	37.10	48.28	37.65	51.29	41.03	52.05	41.03		
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No Selectivity

						L				ل				
	Lower	bound		1	Ablation	Models			Full N	Model	Uppe	r bound		
Transfer Pair	Base Mo	del (SO)	Base M	odel+DMI	AD	-AL	ADS	-SAL	AD-	SAL	Base M	lodel (TO)		
	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS	AD	ADS		
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Selectivity



High-level

Low-level

						ſ		i	L			
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$\mathbb{R} \rightarrow \mathbb{S}$	15.63	8.61	21.88	16.85	25.13	18.61	37.11	25.84	39.02	28.01		
$\mathbb{L} \rightarrow \mathbb{S}$	22.45	16.07	28.67	21.53	28.18	20.74	30.35	23.73	38.26	27.20	68.28	41.12
$\mathbb{D} \rightarrow \mathbb{S}$	16.79	9.49	31.91	22.14	32.88	24.89	32.51	21.45	36.11	26.62		
$\mathbb{R}{\rightarrow}\mathbb{L}$	38.45	23.40	42.27	30.52	40.52	28.77	44.56	33.34	45.01	34.13	75.95	52.62
$\mathbb{S}{\rightarrow}\mathbb{L}$	24.69	14.48	36.38	27.48	32.96	25.16	33.87	24.22	35.99	27.04	15.95	52.02
$\mathbb{R} \rightarrow \mathbb{D}$	34.87	25.79	36.90	27.71	41.61	31.88	43.97	34.50	43.76	35.44	70.37	57.62
$\mathbb{S}{\rightarrow}\mathbb{D}$	27.73	17.73	38.03	31.21	39.54	32.28	40.40	33.26	41.21	33.56	10.57	57.02
Average	27.65	19.09	37.45	28.79	38.73	29.60	41.60	31.77	43.91 [†]	33.71 [†]	74.11	54.66
(Δ)	(16.26)	(14.62)	(6.46)	(4.92)	(5.18)	(4.11)	(2.31)	(1.94)	-	-	-	-

Table 3: Ablation results (%). Δ refers to the improvements of the full model over ablation methods. The marker [†] means that the full model significantly outperforms the best ablation model **ADS-SAL** with *p*-value < 0.01.



Case studies

	No Ada	aptation	Adve	ersarial	Selective	Adversarial
		_ i			٠	·
Innut: (Tonget domain II.)	Base	model+DMI		AD-AL	A	D-SAL
Input: (Target domain \mathbb{L})	AD	ADS	AD	ADS	AD	ADS
1. This laptop has only 2 [<i>usb ports</i>] _{NEG} , and they are both on the same side .	ports(X), side (X)	NONE(X)	NONE(X)	NONE(X)	usb ports	[usb ports] _{NEG}
2. It is very easy to integrate [<i>bluetooth devices</i>] _{POS} , and [<i>usb devices</i>] _{POS} are recognized almost instantly.		$[devices]_{POS} (X), \\ [devices]_{POS} (X)$	NONE(X)	NONE(X)	bluetooth devices, usb devices	[bluetooth devices] _{POS} , [usb devices] _{POS}
3. I also wanted [windows 7] _{POS} , which this one has .	NONE(X)	NONE(X)	NONE(X)	NONE(X)	windows 7	[windows 7] _{POS}
4. The [<i>speed</i>] _{POS} , the [<i>simplicity</i>] _{POS} , the $[design]_{POS}$ it is lightly are ahead of any pc i have ever owned.	speed, design	[speed] _{POS} , [design] _{POS}	speed, design, pc (X)	$[speed]_{POS},$ $[design]_{POS},$ $[pc]_{POS}$ (X)	speed, design, simplicity	[speed] _{POS} , [design] _{POS} , [simplicity] _{POS}
6. The [battery life] POS is excellent, the	battery (X),	$[battery]_{POS}(X),$	battery (X),	$[battery]_{POS}$ (X),	battery life,	[battery life] _{POS} ,
[display]POS is excellent and [downloading	display,	[display] _{POS} ,	display,	[display] _{POS} ,	display,	[display] _{POS} ,
apps]POS is a breeze.	apps (X)	$[apps]_{POS}(X)$	apps (X)	$[apps]_{POS}(X)$	downloading apps	[downloading apps] _{POS}

Table 4: Case analysis for the $\mathbb{R} \to \mathbb{L}$ pair. Note that we only show the sentiment part of the unified labels (i.e., POS, NEG, and NEU) and use brackets to indicate the boundary. The marker X denotes an incorrect prediction.



Method

Experiments

Future Works



Future Works

- Potentially, extend the proposed SAL method to other domain adaptation methods.
- Apply the SAL on more general sequence labeling tasks including NER, POS, Chunking and so on.



Thank You!

Questions?

 Our code is open source and publicly available at the github: <u>https://github.com/hsqmlzno1/Transferable-E2E-ABSA</u>



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